SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

PERSICO CHARLES J. (Mon			. Date of Event equiring Staten Month/Day/Year 0/20/2009	nent	3. Issuer Name and Ticker or Trading Symbol <u>RETAIL OPPORTUNITY INVESTMENTS CORP</u> [NAQ]						
	Last) (First) (Middle) C/O RETAIL OPPORTUNITY INVESTMENTS CORP					ationship of Reporting Perso k all applicable) Director	on(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
3 MANHATTANVILLE ROAD						Officer (give title below)	Other (spe below)	cify	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) PURCHASE	NY	10577								Form filed by Reporting P	y More than One erson
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, par value of \$0.0001 per share						15,000	Ι		See Footnote ⁽¹⁾		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
Expira			Expiration D	2. Date Exercisable and Expiration Date Month/Day/Year)		itle and Amount of Securit derlying Derivative Securit		4. Conver or Exer Price o	rcise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratio Date	on Titl	e	Amount or Number of Shares	Derivat Securit	tive	or Indirect (I) (Instr. 5)	

Explanation of Responses:

1. Perco Management Defined Benefit Plan is the direct owner of 15,000 shares of common stock of the Issuer. Charles J. Persico, as the beneficiary of Perco Management Defined Benefit Plan, may be deemed to be the beneficial owner of the shares of common stock held by Perco Management Defined Benefit Plan.

<u>/s/ Charles J. Persico</u> <u>10/3</u> ** Signature of Reporting Person Date

** Signature of Reporting Person

<u>10/30/2009</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.