FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

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ngton, D.C. 20549	OMB APPROVAL
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ection 16. Form 4 or Form 5	
oligations may continue. See	

## OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 1. Name and Address of Reporting Person\* RETAIL OPPORTUNITY INVESTMENTS Indiveri Michael J. Director 10% Owner **CORP** [ ROIC ] Officer (give title Other (specify below) below) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O RETAIL OPPORTUNITY INVESTMENTS 01/01/2019 CORP 11250 EL CAMINO REAL, SUITE 200 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Form filed by One Reporting Person **SAN DIEGO** 92130 CA Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date (Month/Day/Year)	Execution Date,						5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(111311.4)
01/01/2019		A		6,297(1)	A	\$0	61,172	D	
							8,400	I	See footnote <sup>(2)</sup>
	(Month/Day/Year) 01/01/2019	(Month/Day/Year) if any (Month/Day/Year)  01/01/2019	(Month/Day/Year)   if any (Month/Day/Year)   Code (8)	(Month/Day/Year)   if any (Month/Day/Year)   Code (Instr. 8)   Code   V	Date (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Transaction Code (Instr. 8)     Code   V   Amount	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Date (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Code   V   Amount   (A) or (D)   Price		Date (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   (Month/Day/Year)   (Month/Day/Year)   (Month/Day/Year)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/\)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## **Explanation of Responses:**

- 1. The reporting person was granted 6,297 shares of restricted Common Stock that were issued pursuant to the Retail Opportunity Investments Corp. Amended and Restated 2009 Equity Incentive Plan. All of such shares vest on January 1, 2020
- 2. The shares are held by The Indiveri Group LLC, a limited liability company whose interests are owned 50% by the reporting person and 50% by the spouse of the reporting person.

/s/ Michael J. Indiveri 01/03/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.