SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date of Requiring.   Baker Richard A. (Month/Da 10/17/20)				ement	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NRDC Acquisition Corp.</u> [ NAQ ]					
(Last) (First) (Middle) 3 MANHATTANVILLE RD.					4. Relationship of Reporting Pers (Check all applicable) X Director X		r (Mor	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check		
(Street) PURCHASE (City)	NY (State)	10577 (Zip)			X Officer (give title below) CEO and Corporate	Other (spec below)		cable Line) Form filed b	y One Reporting Person y More than One	
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)			I. Nature of Indirect Beneficial Ownership Instr. 5)		
Common Stock, par value \$0.0001 per share					8,400,000	I See		e Footnote <sup>(1)</sup>		
Table II - Derivative Securities Beneficially Owned     (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Warrants			(2)	(3)	Common Stock, par value \$0.0001 per share	8,000,000	7.5	I	See Footnote <sup>(4)</sup>	

Explanation of Responses:

1. NRDC Capital Management, LLC is the direct owner of 8,400,000 shares of common stock of the Issuer. William L. Mack, Robert C. Baker, Richard A. Baker and Lee S. Neibart, as the sole members of NRDC Capital Management, LLC, may be deemed to be the beneficial owners of the shares of common stock held by NRDC Capital Management, LLC.

2. The warrants will become exercisable on the later of the consummation of an initial business combination and October 23, 2008.

3. The warrants will expire at 5:00 p.m., New York City time, on October 23, 2011 or earlier upon redemption by NRDC Acquisition Corp.

4. NRDC Capital Management, LLC is the direct owner of 8,000,000 warrants, each warrant to purchase one share of common stock of the Issuer. William L. Mack, Robert C. Baker, Richard A. Baker and Lee S. Neibart, as the sole members of NRDC Capital Management, LLC, may be deemed to be the beneficial owners of the warrants held by NRDC Capital Management, LLC.

> /s/ Richard A. Baker 10/17/2007 Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.